

NFAC #4122/81

2 July 1981

MEMORANDUM FOR: See Distribution

FROM : Coordinator for Academic Relations, NFAC

SUBJECT : Request for IC/FAR Research Project
Information for 3rd Quarter FY 1981

1. The quarterly report to the State Department, in compliance with Section VI.B. of the Policies and Procedures for Department of State Review and Coordination of Foreign Affairs Research, is due by 15 July 1981.

2. Please send me by 13 July completed research project forms for any external research contracts initiated by your office or directorate in the 3rd quarter of Fiscal Year 1981 or initiated in prior quarters but not yet reported. Negative reports may be made by calling me on extension

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3. I am again attaching the present "Working Definition" of Foreign Affairs External Research which describes and illustrates what research should and what research should not be included in your report. As before, unclassified research project information should be submitted on the Smithsonian Science Information Exchange form while classified research project information must be submitted on the Foreign Affairs Research Summary form (both forms attached and may be reproduced if necessary).

4. Please refer to my memo of 27 April 1981, addressed to you or your predecessor, entitled Reporting Requirements Involved in Foreign Affairs Research. The last sentence of paragraph 3 should be deleted as the Department of State will no longer require funding information for our research programs. I suggest also that you do not include any cost figures in your quarterly reports to me, thus keeping those reports at the lowest possible classification.

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Attachments:
As stated

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for 3rd Quarter FY 1981

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NFAC/CAR/[redacted]js (2 July 1981)

Revised June 1980

INTERAGENCY COMMITTEE ON FOREIGN AFFAIRS RESEARCH (IC/FAR)

FOREIGN AFFAIRS EXTERNAL RESEARCH

Working Definition

The IC/FAR is interested in U.S. Government foreign affairs external research activities. What follows defines key terms and suggests what is to be included and what excluded by participating agencies.

External research activities to be reported* in accordance with section VI. B. of the *Policies and Procedures for Department of State Review and Coordination of Government-Sponsored Research on Foreign Affairs* is defined below in terms of (1) subject matter, (2) research approaches and methods, (3) type of activity, and (4) cost.

1. Subject Matter

From a U.S. perspective, foreign affairs includes a wide range of political, social, cultural, psychological, military, economic, scientific, technological, and other subject areas. Furthermore, U.S. domestic affairs are increasingly linked with matters foreign or international. A foreign affairs research activity, therefore, is one that focuses, in part or entirely, on recent, contemporary, or future:

- Attributes, ideas, or behavior of non-U.S. individuals, groups, societies, or nations, to include studies of discrete areas or peoples, multinational and crossnational studies, and also historical studies with application to the contemporary scene; or on
- Relations between and among nations, including the United States; or relations between and among individuals or groups located in different nations, including the United States; or on
- Ways in which U.S. individuals, groups, ideas, attributes, or affairs are influenced by or influence: affairs in other nations, relations between or among nations, the position or behavior of the United States in international affairs.

Exclude:

- a. All natural (e.g., astronomical, biological, etc.) or technological (e.g., nuclear fusion) studies in which a cultural, economic, political, management or other social dimension, cause, or setting is not also under investigation.
- b. All studies of U.S. affairs in which a foreign or international dimension, cause, setting, or ramification is not also under investigation.

2. Research Approaches and Methods

Foreign affairs research uses the approaches and methods of one or more of the basic or applied social, behavioral, or policy sciences, and/or the humanities.

e.g., Include

- a. All types of research (i.e., pure, exploratory, basic, applied, developmental, evaluative, policy, etc.) when foreign affairs is the subject matter.

e.g., Exclude

- a. Evaluation studies of an agency program that are intended only for use by the sponsoring agency.

- b. Methodological studies which use foreign affairs data, concepts, or theory to test or develop methods or techniques.

- c. Studies of the U.S. policymaking process when the policy area includes a foreign or international dimension.

3. Type of Activity

External research encompasses all research programs, projects, or other activities sponsored by the U.S. Government but performed by non-Government employees (in the United States or overseas).

e.g., Include

- a. Direct contracts and grants.
- b. Interagency transfers and intergovernmental loans used to make contract or grant awards to nongovernmental research performers.
- c. Arrangements for the external preparation of data, analyses, studies, reports, conferences, symposia, or data and documentation collections, services, and systems that support researchers.

e.g., Exclude

- a. In-house research performed solely by U.S. Government employees (including temporary or intermittent—experts or consultants) whether in the sponsoring or recipient agency.
- b. Programs in which the research is incidental to accomplishment of an educational, training, or cultural exchange or similar objective, such as grants awarded under the Fulbright-Hays program and the National Defense Education Act.
- c. Activities of agency personnel involved in managing or administering external research activities.
- d. Research supported solely by private institutions; state, local, or foreign government; or units of the U.S. Government's legislative and judicial branches.

4. Cost

The total amount obligated for a project by a sponsoring agency (or agencies if jointly funded).

e.g., Include

- a. All foreign affairs research activities costing \$1,000 or more.

e.g., Exclude

- a. Activities costing less than \$1,000 unless the reporting agency believes it important to share information with other agencies.

*In cases of collaborative programs and projects involving two or more agencies, the agency which actually signs contract or grant agreements should assume the reporting responsibility.



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Room 300 • 1730 M Street, N.W. • Washington, D.C. • 20036

Telephone (202) 381-4211 • Telex 89495

NOTICE OF RESEARCH PROJECT

SUPPORTING ORGANIZATION/OFFICE: *

SUPPORTING ORGANIZATION NUMBER(S):

Contract No:

and/or

Control No:

PROJECT TITLE:

INVESTIGATOR(S):

DEPARTMENT/SPECIALTY, School or Division

PERFORMING ORGANIZATION:

PERIOD FOR THIS NRP:

Name and

Address:

Including

Zip Code.

Start Date:

End Date:

Annual Funding: FY _____ \$ _____

Total Cost:

PROJECT SUMMARY:

Be brief-200 word maximum: (Include Objective, Approach, Current Plans and/or Progress, Countries/Regions involved)

ACTION OFFICER:

TELEPHONE: Area Code: No.

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*Other Government Support:

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FOREIGN AFFAIRS RESEARCH SUMMARY

PROJECT'S SECURITY CLASSIFICATION

PROJECT TITLE

DATE INITIATED

COMPLETION DATE

FUNDING AGENCY/SUBORDINATE UNIT

CONTRACT/GRANT NO.

OTHER GOVT. SUPPORT

AGENCY ACTION OFFICER/PROJECT MONITOR

TEL. NO.:

TOTAL COST

OBLIGATIONS (Amount committed for current and next fiscal year.)

NAME AND COMPLETE MAILING ADDRESS OF CONTRACTOR

PRINCIPAL INVESTIGATOR

SCOPE (Statement of research problem, including approach, subject matter, fields or disciplines to which project is importantly related and purpose funding agency expects project to serve. Do not repeat title of project. Specific countries and/or regions included in research should be noted.)

*If form is classified, it must be signed by authorized person. Indicate here date of declassification or exemption from declassification in accordance with E.O. 12065